

Docket No. 255663US3PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tsutomu HIROKI

SERIAL NO: 10/500,966

GAU: 2812

FILED: July 8, 2004

EXAMINER:

FOR: SEMICONDUCTOR PROCESSING SYSTEM AND SEMICONDUCTOR CARRYING MECHANISM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



C. Irvin McClelland

Registration No. 21,124

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 255663US3PCT		SERIAL NO. 10/500,966	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Tsutomu HIROKI			
				FILING DATE July 8, 2004		GROUP 2812	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 563 520	10/08/96	Akihiro TERADA			
	AB	4 660 464	04/28/87	Hirokuni TANAKA			
	AC	5 838 121	11/17/98	Kevin FAIRBAIRN, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	1 030 347	08/23/00	EP(English abstract only)			NO
	AP	2001-68525	03/16/01	JP(with English abstract)			NO
	AQ	13682/1988	01/29/88	JP(with partial English translation)			NO
	AR	29380/1992	03/09/92	JP(with partial English translation)			NO
	AS	9-197008	07/31/97	JP(with English abstract)			NO
	AT	4-87785	03/19/92	JP(with English abstract)			NO
	AU	8-119409	05/14/96	JP(with English abstract)			NO
	AV	10-308430	11/17/98	JP(with English abstract)			NO
	AW	2001-148410	05/29/01	JP(with English abstract)			NO
	AX	7-321178	12/08/95	JP(with English abstract)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/500,966
Docket No.: 255663US3PCT

STATEMENT OF RELEVANCY

- 1) Reference AA & AO-AU has been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References AB-AC & AV-AX have been cited in the corresponding International Preliminary Examination Report. A copy of these references is being submitted herewith.
- 3) References are discussed in the specification. A copy of these references is being submitted here with.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.